

## **Data protection information for EQS Group AG shareholders**

With the following, we inform you about the collection and processing of your personal data by EQS Group AG, Munich ("Company"), and the rights you are entitled to under data protection law, in particular the General Data Protection Regulation.

### **Controller for the processing of your personal data:**

EQS Group AG  
Karlstr. 47  
80333 Munich

Computershare Deutschland GmbH & Co. KG has been commissioned with managing the EQS Group AG share register.

### **The purposes and legal basis for the processing of your personal data and the origin of this data:**

The protection of your personal data is important to us. Your personal data will be processed exclusively within the framework of the relevant legal provisions, in particular the EU General Data Protection Regulation (GDPR), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legal provisions. EQS Group AG shares are registered shares. In the case of registered shares, Section 67 of the German Stock Corporation Act stipulates that they must be entered in the Company's share register, stating the name, date of birth and address of the shareholder as well as the number of shares or the share number and, in the case of par value shares, the amount. The shareholder is generally obliged to provide the Company with this information. In addition, we process personal data that you provide us with when registering for the Annual General Meeting and ordering admission tickets (or voting cards) and/or granting powers of attorney.

We use your personal data for the purposes stipulated in the German Stock Corporation Act. These purposes are, in particular, to manage the share register, to communicate with you as a shareholder and to organise and run the Annual General Meeting. The legal basis for the processing of your personal data is the German Stock Corporation Act in conjunction with point (c) of Article 6 (1) of the GDPR. We may also process your personal data to comply with other legal obligations, such as regulatory requirements, stock corporation, commercial and tax retention obligations. For example, when authorising the powers of attorney appointed by the Company for the Annual General Meeting, we have to record the data serving as proof of authorisation in a verifiable manner and store it protected against access for three years (Section 134 (3)<sup>o</sup>(5) of the AktG) to comply with stock corporation law provisions. In addition, we process your relevant personal data if you (pursuant to Section 1 (2) of the Act on Measures under the Laws applicable to Companies, Cooperatives, Associations, Foundations and Residential Property to Counteract the Effects of the COVID-19 Pandemic (COVID-19 Act) in conjunction with the requirements in the notice convening the virtual Annual General Meeting) cast your vote by absentee ballot via the AGM portal prior to the Annual General Meeting or submit questions at the Annual General Meeting, exercise voting rights, submit motions or lodge complaints against Annual General Meeting resolutions. If you authorise a third party to attend the Annual General Meeting, we will also process the name and address of the power of attorney. The legal basis for the processing in these cases is the respective statutory regulations in conjunction with point (c) of Article 6 (1) of the GDPR. In addition, we only use your data if you have given us your consent (e.g. for the use of electronic means of communication) or if the processing serves the legitimate interests of the Company (in particular for the compilation of statistics, e.g. to present shareholder development, the number of transactions and overview of the largest shareholders). In these cases, the legal basis for the processing of your personal data is points (a) and (f) of Article 6 (1) of the

GDPR. Should we wish to process your personal data for a purpose not mentioned above, we will inform you in advance within the framework of the legal provisions.

**Categories of recipients for your personal data:**

We use the professional services of so-called Processors. These are natural or legal persons, public authorities, institutions or other bodies that process personal data on behalf of the Controller. Since our choice of Processors may change periodically, we provide an overview of the categories of potential recipients below. If you would like a full listing of our Processors at the time your personal data is processed, you can contact our Data Protection Officer at [datenschutz@eqs.com](mailto:datenschutz@eqs.com).

**• External service providers:**

We partly use external service providers (e.g. share register service company, IT service provider and Annual General Meeting service provider) for the administration and technical management of the share register as well as for organising and running the Annual General Meetings. Our external service providers process your personal data exclusively on our behalf and in accordance with our instructions and are contractually bound to the applicable data protection law pursuant to Article 28 (3) of the GDPR.

**• Other recipients:**

In addition, we may transfer your personal data to other recipients, such as to public authorities for the purpose of fulfilling statutory notification obligations (e.g. when statutory voting rights thresholds are exceeded).

**Storage periods:**

We delete your personal data as soon as they are no longer required for the above-mentioned purposes. In this context, personal data may be retained for the period during which claims can be asserted against our Company (statutory limitation period of three to thirty years). We also store your personal data insofar as we are legally obliged to do so. Corresponding obligations to provide evidence and to keep records result, among other things, from the German Stock Corporation Act, the German Commercial Code, the German Fiscal Code and the German Money Laundering Act. The storage periods are then up to ten years.

**Your rights as a data subject:**

You have the right to request information about the data stored about you. In addition, under certain conditions, you can demand a correction or erasure of your data as well as a restriction of processing. You also have the right, in certain circumstances, to object to the processing of your data or to request that certain personal data be transferred to you or to a third party. You can revoke any consent you may have given to the processing of your personal data at any time. To exercise these rights, please contact the address above.

**Use of cookies:**

When you use the AGM portal, we process the following data through our IT service provider, which is at no time merged with any other stored customer or profile data: Every time you visit our websites, we temporarily store the IP address of your Internet browser as well as the pages that you access, or in the apps, the device number, if applicable, so that basic services such as access rights work. We use the latest security standard (256bit encryption). Your data is encrypted immediately during transmission, and all information relevant to data protection is stored in encrypted form in a protected database. To manage your access, we need a session cookie (which is deleted when you close your browser). We only use cookies that are absolutely necessary for the website to work:

- "PHPSessionID", the cookie for standard session identification for PHP is deleted when the browser is closed;

- "cookieaccepted", the cookie to save consent to the cookie bar function and therefore hide it in the view is deleted after 10 days.

**EQS Group AG Data Protection Officer:**

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80333 Munich  
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datenschutz@eqs.com

You have the right to complain to a supervisory authority about the processing of your data by the Company: The competent data protection supervisory authority is:  
Bavarian State Office for Data Protection Supervision (Bayerisches Landesamt für  
Datenschutzaufsicht – BayLDA)  
Promenade 18  
91522 Ansbach  
Email: [poststelle@lda.bayern.de](mailto:poststelle@lda.bayern.de)