

Data protection information for EQS Group AG shareholders

With the following, we inform you about the collection and processing of your personal data by EQS Group AG, Munich ("Company"), and the rights you are entitled to under data protection law, in particular the General Data Protection Regulation.

Please also refer to the Company's privacy policy, which is available at the Internet address <https://www.eqs.com/about-eqs/data-protection/> and describes the processing operations when visiting the website www.eqs.com.

Controller for the processing of your personal data:

EQS Group AG Karlstr. 47
80333 Munich

Computershare Deutschland GmbH & Co. KG has been commissioned with managing the EQS Group AG share register.

The purposes and legal basis for the processing of your personal data and the origin of this data:

The protection of your personal data is important to us. Your personal data will be processed exclusively within the framework of the relevant legal provisions, in particular the EU General Data Protection Regulation (GDPR), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legal provisions. EQS Group AG shares are registered shares. In the case of registered shares, Section 67 of the German Stock Corporation Act stipulates that they must be entered in the Company's share register, stating the name, date of birth and address of the shareholder as well as the number of shares or the share number and, in the case of par value shares, the amount. The shareholder is generally obliged to provide the Company with this information. In addition, we process personal data that you provide us with when registering for the Annual General Meeting and ordering admission tickets (or voting cards) and/or granting powers of attorney.

We use your personal data for the purposes stipulated in the German Stock Corporation Act. These purposes are, in particular, to manage the share register, to communicate with you as a shareholder and to organise and run the Annual General Meeting. The legal basis for the processing of your personal data is the German Stock Corporation Act in conjunction with point (c) of Article 6 (1) of the GDPR. We may also process your personal data to comply with other legal obligations, such as regulatory requirements, stock corporation, commercial and tax retention obligations. For example, when authorising the powers of attorney appointed by the Company for the Annual General Meeting, we have to record the data serving as proof of authorisation in a verifiable manner and store it protected against access for three years (Section 134 para. (3) sent. 5 of the AktG) to comply with stock corporation law provisions.

In addition, we process your relevant personal data if you (pursuant to the applicable provisions of the German Stock Corporation Act) cast your vote by (electronic) absentee ballot via the AGM portal prior to the Annual General Meeting or submit questions at the Annual General Meeting, exercise voting rights, submit motions or declare an objection against Annual General Meeting resolutions or declare the exercise of other shareholder rights. If you authorise a third party to attend the Annual General Meeting, we will also process the name and address of the power of attorney. The legal basis for the processing in these cases is the respective statutory regulations in conjunction with point (c) of Article 6 (1) of the GDPR. In addition, we only use your data if you have given us your consent (e.g., for the use of electronic means of

communication) or if the processing serves the legitimate interests of the Company (in particular for the compilation of statistics, e.g., to present shareholder development, the number of transactions and overview of the largest shareholders). In these cases, the legal basis for the processing of your personal data is points (a) and (f) of Article 6 (1) of the GDPR. Should we wish to process your personal data for a purpose not mentioned above, we will inform you in advance within the framework of the legal provisions.

Categories of recipients for your personal data:

We use the professional services of so-called Processors. These are natural or legal persons, public authorities, institutions or other bodies that process personal data on behalf of the Controller. Since our choice of Processors may change periodically, we provide an overview of the categories of potential recipients below. If you would like a full listing of our Processors at the time your personal data is processed, you can contact our Data Protection Officer at datenschutz@eqs.com.

- **External service providers:**

We partly use external service providers (e.g., share register service company, IT service provider and Annual General Meeting service provider) for the administration and technical management of the share register as well as for organising and running the Annual General Meetings. Our external service providers process your personal data exclusively on our behalf and in accordance with our instructions and are contractually bound to the applicable data protection law pursuant to Article 28 (3) of the GDPR.

- **Other recipients:**

In addition, we may transfer your personal data to other recipients, such as to public authorities for the purpose of fulfilling statutory notification obligations (e.g., when statutory voting rights thresholds are exceeded).

Storage periods:

We delete your personal data as soon as they are no longer required for the above-mentioned purposes. In this context, personal data may be retained for the period during which claims can be asserted against our Company (statutory limitation period of three to thirty years). We also store your personal data insofar as we are legally obliged to do so. Corresponding obligations to provide evidence and to keep records result, among other things, from the German Stock Corporation Act, the German Commercial Code, the German Fiscal Code and the German Money Laundering Act. The storage periods are then up to ten years.

Transfer of your data outside the European Union:

In order to comply with the purposes set out above, it may be necessary for your personal data to be transferred outside the European Economic Area (EEA). If we transfer personal data to service providers or group companies outside the EEA, the transfer will only take place if the third country has been confirmed by the EU Commission to have an adequate level of data protection or if other appropriate data protection guarantees (e.g., binding corporate data protection rules or EU standard contractual clauses) are in place. You can request detailed information at the address of the data protection officer given below.

Your rights as a data subject:

You have the right to request information about the data stored about you. In addition, under certain conditions, you can demand a correction or erasure of your data as well as a restriction of processing. You also have the right, in certain circumstances, to object to the processing of your data or to request that certain personal data be transferred to you or to a third party. You can revoke any consent you may have given to the processing of your personal data at any time. To exercise these rights, please contact the address above.

EQS Group AG Data Protection Officer and right to complain:

Oliver Kunert Karlstr. 47
80333 Munich
Tel. +49 (0)89 444430-050
datenschutz@eqs.com

You have the right to complain to a supervisory authority about the processing of your data by the Company:
The competent data protection supervisory authority is:

Bavarian State Office for Data Protection Supervision (Bayerisches Landesamt für Datenschutzaufsicht – BayLDA)
Promenade 18
91522 Ansbach
e-mail: poststelle@lda.bayern.de